

# The Integer Matrix All-minors Matrix-tree Theorem via Oriented Hypergraphs

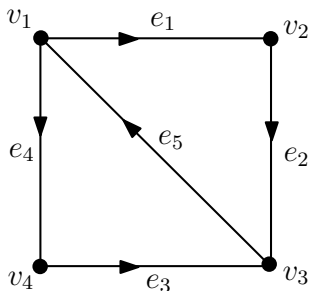
Josephine Reynes

7th Annual Mississippi Discrete Math Workshop

October 26, 2019

# Introduction

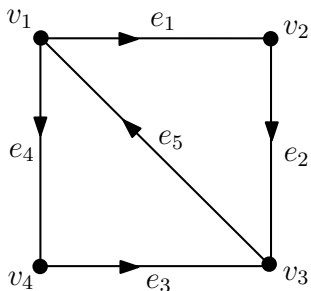
# Incidence Matrix - Graph



$$H_G = \begin{bmatrix} -1 & 0 & 0 & -1 & 1 \\ 1 & -1 & 0 & 0 & 0 \\ 0 & 1 & 1 & 0 & -1 \\ 0 & 0 & -1 & 1 & 0 \end{bmatrix}$$

- $V \times E$  matrix with values  $+1$  if the edge enters a vertex and  $-1$  if it exits a vertex.

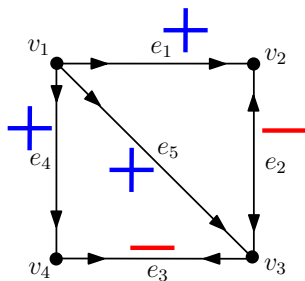
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- Edge  $e_1$  exits  $v_1$  and enters  $v_2$

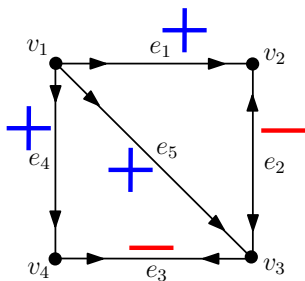
# Incidence Matrix - Signed Graph



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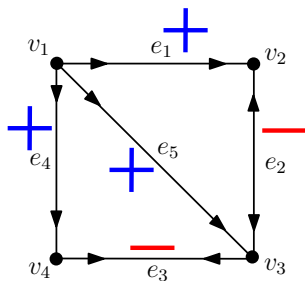
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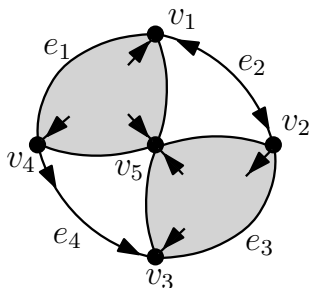
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- Edge  $e_1$  has both incidences oriented to agree with the previous graph.
- Edge  $e_2$  has both incidences entering  $v_2$  and  $v_3$  (extroverted).

# Incidence Matrix - Oriented Hypergraph

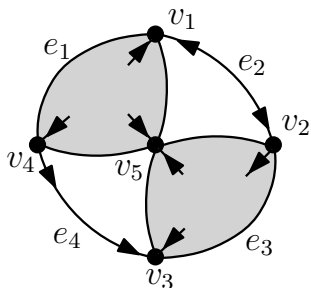


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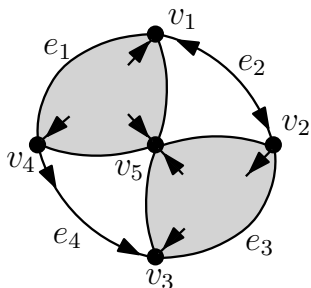
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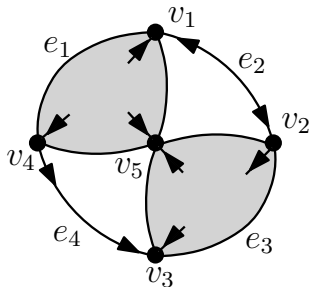
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- $V \times E$  matrix with values  $+1$  if the incidence enters a vertex and  $-1$  if it exits a vertex.
- Edge  $e_3$  has two compatible pairs and one extroverted pair of incidences.
- No edge of size greater than 2 can have all incidence pairs compatible.

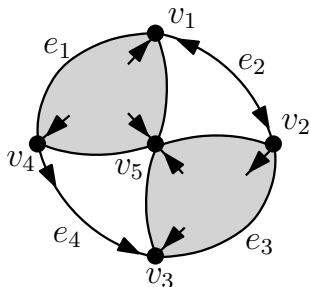
# Adjacency Matrix



$$A_G = \begin{bmatrix} 0 & -1 & 0 & -1 & -1 \\ -1 & 0 & +1 & 0 & +1 \\ 0 & +1 & 0 & +1 & -1 \\ -1 & 0 & +1 & 0 & -1 \\ -1 & +1 & -1 & -1 & 0 \end{bmatrix}$$

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(Introverted/Extroverted = negative)

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- Entries are signed by local adjacencies. (Introverted/Extroverted = negative)
- The sign of the circle from  $(v_1, v_2, v_3, v_4)$  is the product of the adjacency signs.

# Sachs' Theorem

## Theorem (Sachs' Theorem)

For a graph  $G$  the characteristic polynomial is

$$\chi_G(\mathbf{A}, x) = \sum_{k=1}^{|V(G)|} \left( \sum_{U \in \mathcal{U}_k} (-1)^{p(U)} (2)^{c(U)} \right) x^k.$$

Where  $\mathcal{U}_k$  is the set of all cycle-covers avoiding  $k$  vertices.

- Each cycle-cover is weighted by  $-1$  for each connected component and  $2$  for each cycle.

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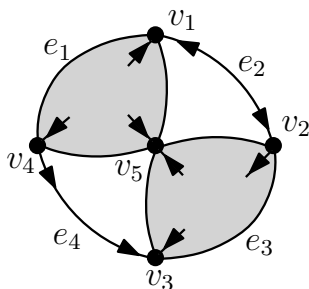
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- We obtain a generalization to oriented hypergraphs via the finest possible sum.

## Laplacian Matrix

## Definition

**Laplacian Matrix:**  $L_G := D_G - A_G = H_G H_G^T$



$$L_G = \begin{bmatrix} 2 & 1 & 0 & 1 & 1 \\ 1 & 2 & -1 & 0 & -1 \\ 0 & -1 & 2 & -1 & 1 \\ 1 & 0 & -1 & 2 & 1 \\ 1 & -1 & 1 & 1 & 2 \end{bmatrix}$$

- The *degree* of a vertex is the number of incidences at that vertex.

# Graphic Matrix-Tree Theorem

## Theorem (Matrix-Tree Theorem)

*If  $v$  is a vertex of a graph  $G$  with Laplacian matrix  $\mathbf{L}(G)$  then*

$$\det(\mathbf{L}_v(G)) = \sum_T \prod_{e \in E(T)} wt(e)$$

*Where the sum is over all spanning trees  $T$ , rooted at  $v$ , and  $wt(e)$  is the weight of edge  $e$ .*

- If each edge is weighted 1 this simply counts the number of spanning trees of  $G$ .



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# Signed-Graphic Matrix-Tree Theorem

## Theorem (Chaiken's All Minors Matrix-tree Theorem (Chaiken 1982))

Let  $G$  be a signed graph with Laplacian matrix  $\mathbf{L}$ . For  $U, W \subseteq V$  with  $|U| = |W|$ , let  $\mathbf{L}_{U,W}$  be  $(U, W)$  minor of  $\mathbf{L}$  then

$$\det(\mathbf{L}_{U,W}) = \epsilon(\bar{U}, V)\epsilon(\bar{W}, V) \sum_F \epsilon(\pi^*) (-1)^{np(F)} 4^{nc(F)} a_F$$

Where the sum is over all edge sets  $F$ , subset of  $E$ , such that

- 1  $F$  contains  $|U|$  components that are trees.
- 2 Each tree from 1 contains exactly one vertex from  $U$  and one vertex from  $W$ .
- 3 Each tree from 1 is rooted at its vertex in  $U$  and contains exactly one vertex of  $W$ . This defines a linking  $\pi^* : W \rightarrow U$ .  $\epsilon(\pi^*)$  is negative one to the number of inversions of  $\pi^*$ , and  $np(F)$  is the number of negative paths in  $\pi^*$ .
- 4 Each of the remaining components of  $F$  contains exclusively a backstep or exactly one negative circle.  $nc(F)$  is the number of negative circles.
- 5  $\epsilon(\bar{U}, V) = (-1)^{|\{(i,j) \mid i < j, i \in U, j \in \bar{U}\}|}$

# Motivation and Examples

# Weak Walks and Path Embeddings

## Definition

A *directed weak walk* of  $G$  is the image of an incidence-preserving map of a directed path into  $G$ .

## Definition

A *directed adjacency* of  $G$  is a map of  $\vec{P}_1$  into  $G$  that is incidence-monic.

## Definition

A *backstep* of  $G$  is a non-incidence-monic map of  $\vec{P}_1$  into  $G$ .

## A Unifying Theorem - Weak Walk Theorem

### Theorem (Reff & Rusnak, 2012)

*The  $ij$ -entry of the oriented hypergraphic adjacency matrix is the number of walks of length 1 from  $v_i$  to  $v_j$ .*

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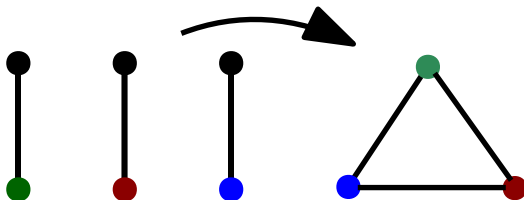
*The  $ij$ -entry of the oriented hypergraphic Laplacian matrix is the number of weak walks of length 1 from  $v_i$  to  $v_j$ .*

- Backsteps correspond to degree.
- The only difference between **A** and **L** is incidence-monic-ness.

# Contributors as Permutation Clones

## Definition (Contributor)

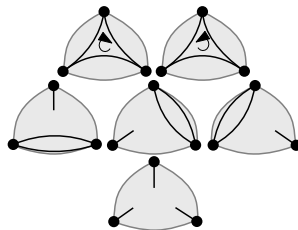
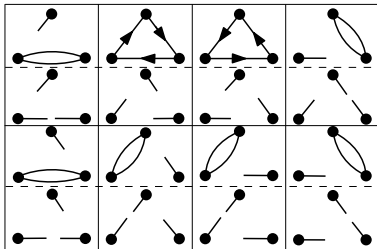
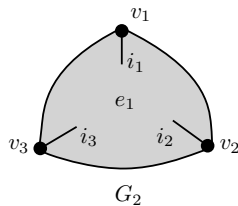
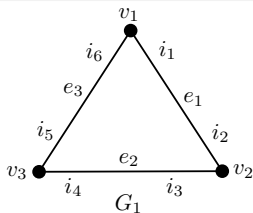
A *contributor* of  $G$  is an incidence preserving map from a disjoint union of  $\vec{P}_1$ 's into  $G$  defined by  $c : \coprod_{v \in V} \vec{P}_1 \rightarrow G$  such that  $c(t_v) = v$  and  $\{c(h_v) \mid v \in V\} = V$ .



- A *strong contributor* is a contributor with no backsteps.

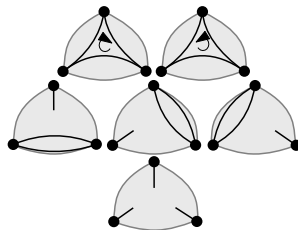
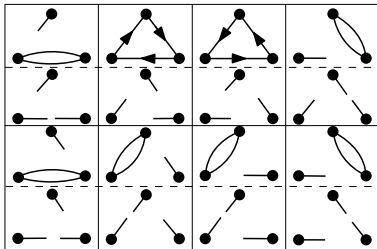
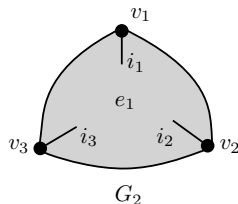
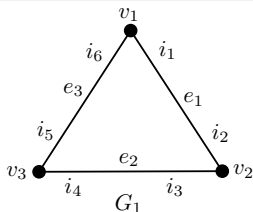


# Contributors of $K_3$ versus $E_3$



- Both have two strong contributors. (Sachs-figures)

# Contributors of $K_3$ versus $E_3$



- Both have two strong contributors. (Sachs-figures)
- $K_3$  has **8** identity clones. Hence, 8 activation classes.

# Contributor Sets

## Definition

Let  $\mathcal{C}(G; \mathbf{u}, \mathbf{w})$  be the set of contributors in  $G$  where  $c(u_i) = w_j$ .

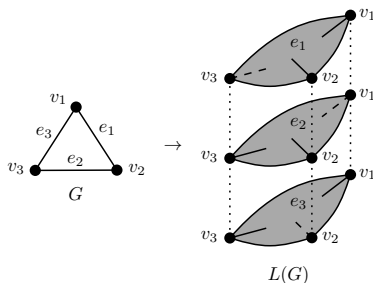
## Definition

Let  $\widehat{\mathcal{C}}(G; \mathbf{u}, \mathbf{w})$  be the set obtained by removing the  $\mathbf{u} \rightarrow \mathbf{w}$  mappings from  $\mathcal{C}(G; \mathbf{u}, \mathbf{w})$

- $\mathcal{S}(G; \mathbf{u}, \mathbf{w})$  and  $\widehat{\mathcal{S}}(G; \mathbf{u}, \mathbf{w})$  will be used to denote the set of *strong* contributors.

## Definition

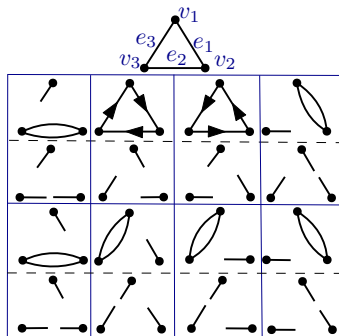
Given an incidence hypergraph  $G$ , define the *loading* of  $G$  as the incidence hypergraph  $L(G)$  that contains  $G$  and has an incidence for every  $(v, e)$  pair that was incidence-free.



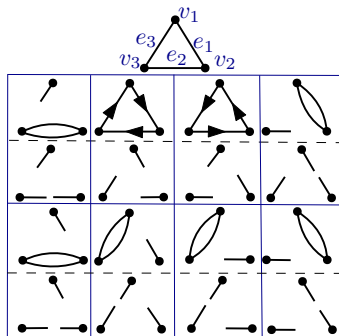
Lemma (Grilliette, R., Rusnak; submitted)

*The loading of  $G$  is the injective envelope in the category of incidence hypergraphs.*

# Characteristic Polynomial

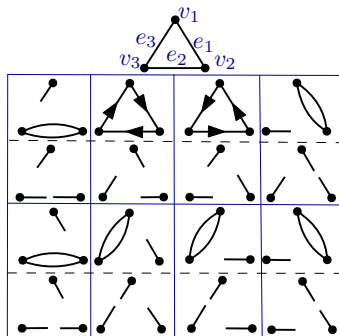


# Characteristic Polynomial



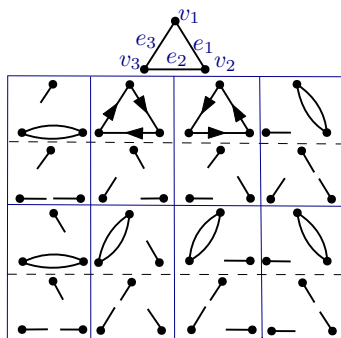
- $\det(x\mathbf{I} - \mathbf{L}) = x^3 - 6x^2 + 9x$ . The constant is 0 as contributors are cancellative within each activation class.

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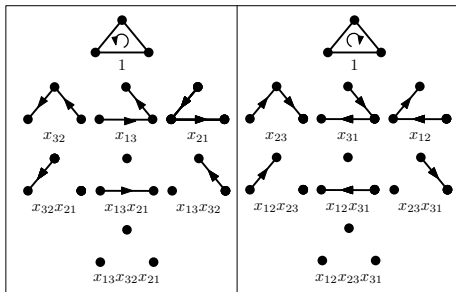
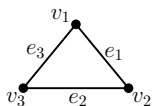
- $\det(x\mathbf{I} - \mathbf{L}) = x^3 - 6x^2 + 9x$ . The constant is 0 as contributors are cancellative within each activation class.
- $\text{perm}(x\mathbf{I} - \mathbf{A}) = x^3 + 3x - 2$ . The constant is  $-2$  as there are two strong contributors that have been decoupled from their identity.

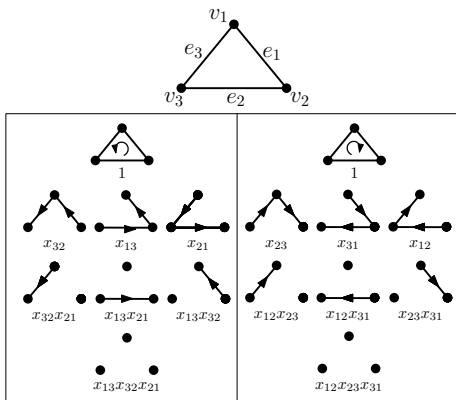
# Expanding to All-minors



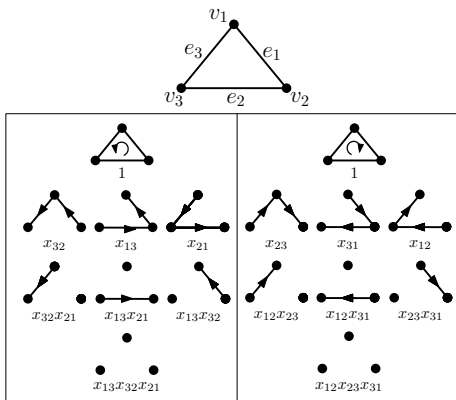
$$\text{perm}(\mathbf{X} - \mathbf{A}) = \text{perm} \begin{bmatrix} x_{11} & x_{12} - 1 & x_{13} - 1 \\ x_{21} - 1 & x_{22} & x_{23} - 1 \\ x_{31} - 1 & x_{32} - 1 & x_{33} \end{bmatrix}$$



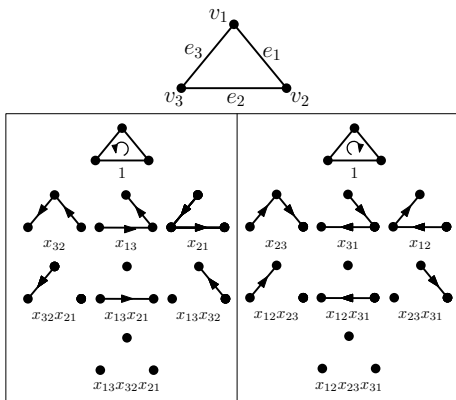




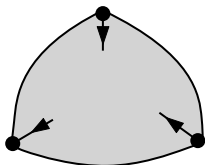
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- The subcontributors also contribute additional monomials shown.
- $\text{perm}(\mathbf{X} - \mathbf{A})$  is the alternating sum of these monomials.



$$\chi^D(\mathbf{L}_G, \mathbf{x})$$

$$= \det(\mathbf{X} - \mathbf{L}_G) = \det \begin{bmatrix} x_{11} - 1 & x_{12} - 1 & x_{13} + 1 \\ x_{21} - 1 & x_{22} - 1 & x_{23} + 1 \\ x_{31} + 1 & x_{32} + 1 & x_{33} - 1 \end{bmatrix}$$

$$= x_{11}x_{22}x_{33} - x_{11}x_{23}x_{32} - x_{13}x_{22}x_{31} - x_{12}x_{21}x_{33} + x_{12}x_{23}x_{31} + x_{13}x_{21}x_{32}$$

$$- x_{11}x_{22} - x_{11}x_{23} - x_{11}x_{32} - x_{11}x_{33} - x_{13}x_{22} - x_{22}x_{31} - x_{22}x_{33} - x_{23}x_{31} - x_{13}x_{32}$$

$$+ x_{12}x_{21} + x_{13}x_{21} + x_{12}x_{23} + x_{12}x_{31} + x_{13}x_{31} + x_{21}x_{32} + x_{23}x_{32} + x_{12}x_{33} + x_{12}x_{33}$$

Note the constant and linear terms all have coefficient zero.

# Main Theorems

### Theorem (Grilliette, R., Rusnak; submitted)

Let  $G$  be an oriented hypergraph with adjacency matrix  $\mathbf{A}_G$  and Laplacian matrix  $\mathbf{L}_G$ , then

$$\begin{aligned} \textcircled{1} \chi^P(\mathbf{A}_G, \mathbf{x}) &= \sum_{[\mathbf{u}, \mathbf{w}]} \left( \sum_{\substack{s \in \widehat{\mathcal{S}}(L^0(G); \mathbf{u}, \mathbf{w}) \\ \text{sgn}(s) \neq 0}} (-1)^{oc(s) + nc(s)} \right) \prod_i x_{u_i, w_i}, \\ \textcircled{2} \chi^D(\mathbf{A}_G, \mathbf{x}) &= \sum_{[\mathbf{u}, \mathbf{w}]} \left( \sum_{\substack{s \in \widehat{\mathcal{S}}(L^0(G); \mathbf{u}, \mathbf{w}) \\ \text{sgn}(s) \neq 0}} (-1)^{ec(\check{s}) + oc(s) + nc(s)} \right) \prod_i x_{u_i, w_i}, \\ \textcircled{3} \chi^P(\mathbf{L}_G, \mathbf{x}) &= \sum_{[\mathbf{u}, \mathbf{w}]} \left( \sum_{\substack{c \in \widehat{\mathcal{C}}(L^0(G); \mathbf{u}, \mathbf{w}) \\ \text{sgn}(c) \neq 0}} (-1)^{nc(c) + bs(c)} \right) \prod_i x_{u_i, w_i}, \\ \textcircled{4} \chi^D(\mathbf{L}_G, \mathbf{x}) &= \sum_{[\mathbf{u}, \mathbf{w}]} \left( \sum_{\substack{c \in \widehat{\mathcal{C}}(L^0(G); \mathbf{u}, \mathbf{w}) \\ \text{sgn}(c) \neq 0}} (-1)^{ec(\check{c}) + nc(c) + bs(c)} \right) \prod_i x_{u_i, w_i}. \end{aligned}$$

# Arborescences

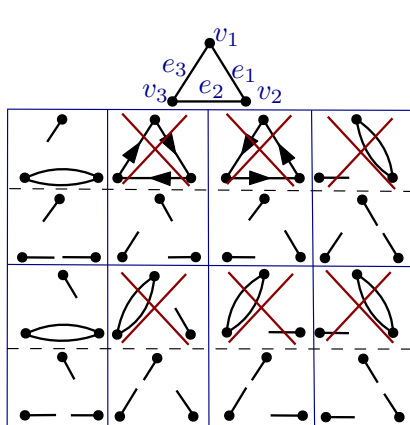
## Definition

Let  $\mathcal{A}(\mathbf{u}; \mathbf{w}; G)$  denote the  $(\mathbf{u}, \mathbf{w})$ -equivalent elements in activation class  $\mathcal{A}$ .

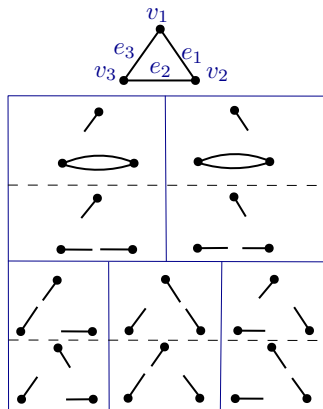
## Definition

Let  $\hat{\mathcal{A}}(\mathbf{u}; \mathbf{w}; G)$  be the elements of  $\mathcal{A}(\mathbf{u}; \mathbf{w}; G)$  with the adjacency or backstep from  $u_i$  to  $w_i$  is removed for each  $i$ .



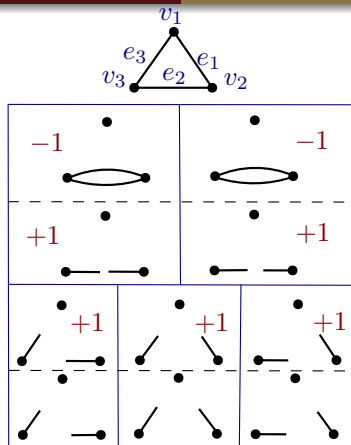


(1,1)-contributors of  $G$



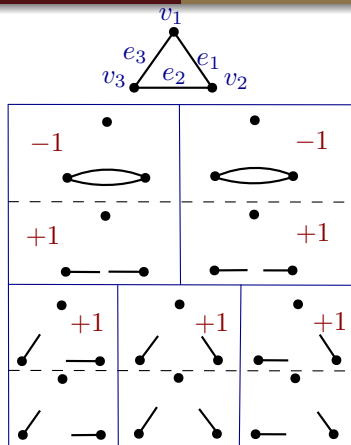
Contributor with  $x_{11}$

- For each activation class take only those contributors where  $1 \rightarrow 1$ .



$$\chi^D(L_G, x_{11}) = 3x_{11}$$

- Non-single-element activation classes are still cancellative.

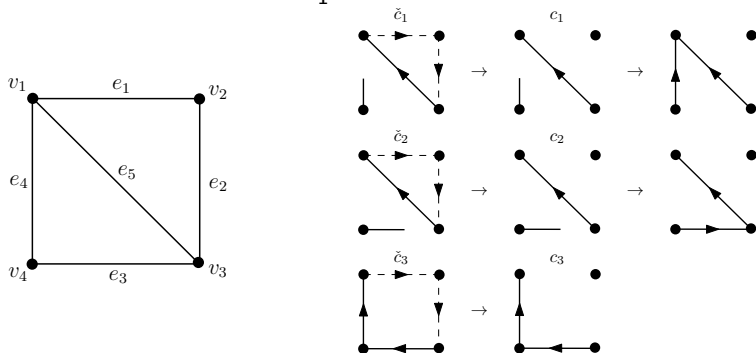


$$\chi^D(L_G, x_{11}) = 3x_{11}$$

- Non-single-element activation classes are still cancellative.
- The single-element activation classes are unpacking-equivalent to spanning trees.

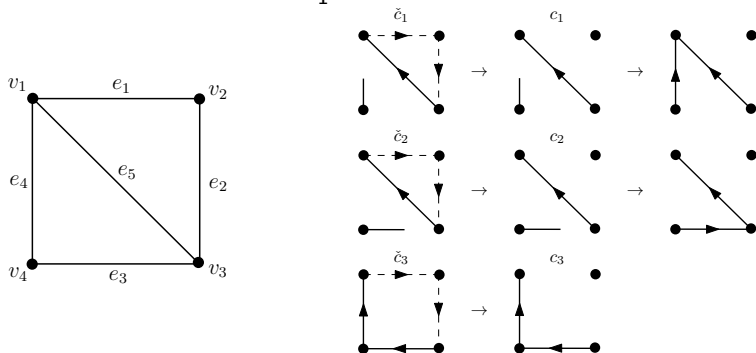
# Arborescenes

The three  $[(1, 2), (2, 3)]$ -equivalent contributors, their reduced subcontributor in  $G$  with linking, and the unpacked inward arborescence rooted at  $v_1$ .



# Arborescenes

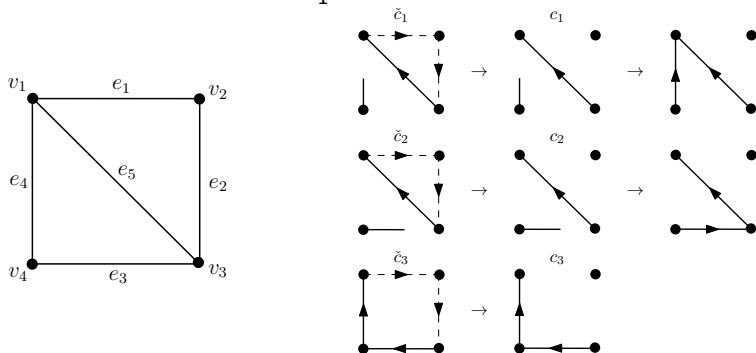
The three  $[(1, 2), (2, 3)]$ -equivalent contributors, their reduced subcontributor in  $G$  with linking, and the unpacked inward arborescence rooted at  $v_1$ .



- The coefficient of  $x_{12}x_{23}$  in  $\chi^D(\mathbf{L}_G, \mathbf{x})$  is  $-3$ .

# Arborescenes

The three  $[(1, 2), (2, 3)]$ -equivalent contributors, their reduced subcontributor in  $G$  with linking, and the unpacked inward arborescence rooted at  $v_1$ .



- The coefficient of  $x_{12}x_{23}$  in  $\chi^D(\mathbf{L}_G, \mathbf{x})$  is  $-3$ .
- The coefficient of  $x_{12}x_{23}$  in  $\chi^P(\mathbf{L}_G, \mathbf{x})$  is  $-1$ .

# Arborescenes

## Theorem (Grilliette, R., Rusnak; submitted)

*In a bidirected graph  $G$  the set of all elements in single-element  $\hat{\mathcal{A}}_{\neq 0}(\mathbf{u}; \mathbf{w}; L(G))$  is unpacking equivalent to  $k$ -arborescences. Moreover, the  $i^{\text{th}}$  component in the arborescence has sink  $u_i$ , and the vertices of each component are determined by the linking induced by  $c^{-1}$  between all  $u_i \in U \cap \overline{W} \rightarrow \overline{U}$  or unpack into a vertex of a linking component.*

# Thanks